



PTO/SB/21 (08-00)

22883 Approved for use through 10/31/2002. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Applicati n Number

09/923,809

Filing Date

Aug 7, 2001

First Named Inventor

Kasriel

Group Art Unit

NYA

Examiner Name

NYA

Total Number of Pages in This Submission

6

Attorney Docket Number

155.1006.01

**ENCLOSURES (check all that apply)**

Fee Transmittal Form

Fee Attached Credit Card  
Payment Form PTO-2038

Amendment / Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement

Certified Copy of Priority  
Document(s)Response to Missing Parts/  
Incomplete ApplicationResponse to Missing  
Parts under 37 CFR  
1.52 or 1.53Assignment Papers  
(for an Application)

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

After Allowance Communication to  
GroupAppeal Communication to Board of  
Appeals and InterferencesAppeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s)  
(please identify below):  
Return Postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

Steven A. Swernofsky

Reg. no. 33,040

Signature

Date

10-24-01

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 10-24-01

Type or printed name

Arlette Malhas, Paralegal

Signature

Date

10-24-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



22883

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

PATENT TRADEMARK AND COPYRIGHT OFFICE: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$512.00)

**Complete if Known**

Application Number	09/923,809
Filing Date	8/7/2001
First Named Inventor	Kasriel, et al.
Examiner Name	NYA
Group/Art Unit	NYA
Attorney Docket No.	155.1006.01

**RECEIVED**  
JAN 28 2002  
OFFICE OF PETITIONS**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-0365**Deposit Account Name **Swernofsky Law Group**

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- 
- ☐
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☐ Check ☒ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	385.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$385.00)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
22	-20**= 2	18	18.00
4	-3**= 1	84	44.00

Multiple Dependent

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$62.00)

\*\*or number previously paid, if greater; For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$65.00)

**SUBMITTED BY**Name (Print/Type) **Steven A. Swernofsky**Registration No. (Attorney/Agent) **33,040****Complete (if applicable)**Telephone **650-947-0700**

Signature

Date

**10-24-01****WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.



22883

PATENT TRADEMARK OFFICE

155.1006.01

#4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KASRIEL

Serial No. 09/923,809

Filed: 8/7/2001

For: Efficient Compression Using  
Differential Caching

Art Unit: Not Assigned

Examiner: Not Assigned

Tel: Not Assigned

RECEIVED  
JAN 28 2002  
OFFICE OF PETITIONS

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited  
with the United States Postal Service with sufficient postage  
as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on 10-24-01  
Date

*Arlette Malhas*  
Arlette Malhas

CHANGE OF STATUS LETTER

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

The above-identified patent application was originally filed claiming small entity status in good faith. It is now discovered that small entity status was claimed inadvertently in error with no deceptive intent. Pursuant to 37 CFR 1.28(c) the applicant no longer claims small entity status and authorization is hereby granted to charge the fee deficiency per the included Credit Card Payment Form PTO-2038.

01/28/2002 CNGUYEN 00000234 500365 09923809

01 FC:101		740.00 OP
02 FC:102		84.00 OP
03 FC:105	4.00 CH	126.00 OP
04 FC:105	130.00 CH	

03 FC:201  
02 FC:203

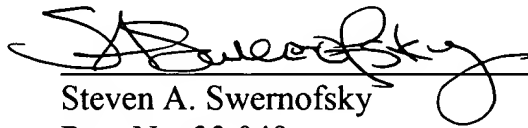
RETROACTIVE CHANGE OF STATUS

-65.00 OP

In the event we have miscalculated the fee deficiency, Deposit Account No. 50-0365 may be used to credit any overpayment or make up any underpayment thereof.

Respectfully submitted,

Dated: 10-24-01

  
\_\_\_\_\_  
Steven A. Swernofsky  
Reg. No. 33,040

Swernofsky Law Group  
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Mountain View, CA 94039-0013  
(650) 947-0700